

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF WASHINGTON

LESLIE S.,

Plaintiff,

v.

ANDREW M. SAUL, Commissioner  
of Social Security,

Defendant.

NO. 1:19-CV-3283-TOR

ORDER GRANTING DEFENDANT'S  
MOTION FOR SUMMARY  
JUDGMENT

BEFORE THE COURT are the parties' cross-motions for summary judgment (ECF Nos. 15, 16). Plaintiff is represented by D. James Tree. Defendant is represented by Ryan Lu. This matter was submitted for consideration without oral argument. The Court has reviewed the administrative record and the parties' completed briefing, and is fully informed. For the reasons discussed below, the Court **DENIES** Plaintiff's Motion and **GRANTS** Defendant's Motion.

**JURISDICTION**

The Court has jurisdiction pursuant to 42 U.S.C. § 405(g).

ORDER GRANTING DEFENDANT'S MOTION FOR SUMMARY  
JUDGMENT ~ 1

## STANDARD OF REVIEW

A district court's review of a final decision of the Commissioner of Social Security is governed by 42 U.S.C. § 405(g). The scope of review under § 405(g) is limited: the Commissioner's decision will be disturbed "only if it is not supported by substantial evidence or is based on legal error." *Hill v. Astrue*, 698 F.3d 1153, 1158-59 (9th Cir. 2012) (citing 42 U.S.C. § 405(g)). "Substantial evidence" means relevant evidence that "a reasonable mind might accept as adequate to support a conclusion." *Id.* at 1159 (quotation and citation omitted). Stated differently, substantial evidence equates to "more than a mere scintilla[,] but less than a preponderance." *Id.* (quotation and citation omitted). In determining whether this standard has been satisfied, a reviewing court must consider the entire record as a whole rather than searching for supporting evidence in isolation. *Id.*

In reviewing a denial of benefits, a district court may not substitute its judgment for that of the Commissioner. *Edlund v. Massanari*, 253 F.3d 1152, 1156 (9th Cir. 2001). If the evidence in the record "is susceptible to more than one rational interpretation, [the court] must uphold the ALJ's findings if they are supported by inferences reasonably drawn from the record." *Molina v. Astrue*, 674 F.3d 1104, 1111 (9th Cir. 2012). Further, a district court "may not reverse an ALJ's decision on account of an error that is harmless." *Id.* An error is harmless "where it is inconsequential to the [ALJ's] ultimate nondisability determination."

1 *Id.* at 1115 (quotation and citation omitted). The party appealing the ALJ's  
2 decision generally bears the burden of establishing that it was harmed. *Shinseki v.*  
3 *Sanders*, 556 U.S. 396, 409-10 (2009).

#### 4 **FIVE STEP SEQUENTIAL EVALUATION PROCESS**

5 A claimant must satisfy two conditions to be considered “disabled” within  
6 the meaning of the Social Security Act. First, the claimant must be unable “to  
7 engage in any substantial gainful activity by reason of any medically determinable  
8 physical or mental impairment which can be expected to result in death or which  
9 has lasted or can be expected to last for a continuous period of not less than 12  
10 months.” 42 U.S.C. § 423(d)(1)(A). Second, the claimant’s impairment must be  
11 “of such severity that [he or she] is not only unable to do [his or her] previous  
12 work[,] but cannot, considering [his or her] age, education, and work experience,  
13 engage in any other kind of substantial gainful work which exists in the national  
14 economy.” 42 U.S.C. § 423(d)(2)(A).

15 The Commissioner has established a five-step sequential analysis to  
16 determine whether a claimant satisfies the above criteria. *See* 20 §  
17 404.1520(a)(4)(i)-(v). At step one, the Commissioner considers the claimant’s  
18 work activity. 20 C.F.R. § 404.1520(a)(4)(i). If the claimant is engaged in  
19 “substantial gainful activity,” the Commissioner must find that the claimant is not  
20 disabled. 20 C.F.R. § 404.1520(b).

1        If the claimant is not engaged in substantial gainful activities, the analysis  
2 proceeds to step two. At this step, the Commissioner considers the severity of the  
3 claimant's impairment. 20 C.F.R. § 404.1520(a)(4)(ii). If the claimant suffers  
4 from "any impairment or combination of impairments which significantly limits  
5 [his or her] physical or mental ability to do basic work activities," the analysis  
6 proceeds to step three. 20 C.F.R. § 404.1520(c). If the claimant's impairment  
7 does not satisfy this severity threshold, however, the Commissioner must find that  
8 the claimant is not disabled. *Id.*

9        At step three, the Commissioner compares the claimant's impairment to  
10 several impairments recognized by the Commissioner to be so severe as to  
11 preclude a person from engaging in substantial gainful activity. 20 C.F.R. §  
12 404.1520(a)(4)(iii). If the impairment is as severe or more severe than one of the  
13 enumerated impairments, the Commissioner must find the claimant disabled and  
14 award benefits. 20 C.F.R. § 404.1520(d).

15        If the severity of the claimant's impairment does meet or exceed the severity  
16 of the enumerated impairments, the Commissioner must pause to assess the  
17 claimant's "residual functional capacity." Residual functional capacity ("RFC"),  
18 defined generally as the claimant's ability to perform physical and mental work  
19 activities on a sustained basis despite his or her limitations (20 C.F.R. §  
20 404.1545(a)(1)), is relevant to both the fourth and fifth steps of the analysis.

1 At step four, the Commissioner considers whether, in view of the claimant's  
2 RFC, the claimant is capable of performing work that he or she has performed in  
3 the past ("past relevant work"). 20 C.F.R. § 404.1520(a)(4)(iv). If the claimant is  
4 capable of performing past relevant work, the Commissioner must find that the  
5 claimant is not disabled. 20 C.F.R. § 404.1520(f). If the claimant is incapable of  
6 performing such work, the analysis proceeds to step five.

7 At step five, the Commissioner considers whether, in view of the claimant's  
8 RFC, the claimant is capable of performing other work in the national economy.  
9 20 C.F.R. § 404.1520(a)(4)(v). In making this determination, the Commissioner  
10 must also consider vocational factors such as the claimant's age, education and  
11 work experience. *Id.* If the claimant is capable of adjusting to other work, the  
12 Commissioner must find that the claimant is not disabled. 20 C.F.R. §  
13 404.1520(g)(1). If the claimant is not capable of adjusting to other work, the  
14 analysis concludes with a finding that the claimant is disabled and is therefore  
15 entitled to benefits. *Id.*

16 The claimant bears the burden of proof at steps one through four above.  
17 *Tackett v. Apfel*, 180 F.3d 1094, 1098 (9th Cir. 1999). If the analysis proceeds to  
18 step five, the burden shifts to the Commissioner to establish that (1) the claimant is  
19 capable of performing other work; and (2) such work "exists in significant  
20

1 numbers in the national economy.” 20 C.F.R. § 404.1560(c)(2); *Beltran v. Astrue*,  
2 700 F.3d 386, 389 (9th Cir. 2012).

### 3 **ALJ’S FINDINGS**

4 On February 5, 2013, Plaintiff protectively filed an application for Title II  
5 disability insurance benefits, alleging an amended onset date of February 1, 2012.  
6 Tr. 44, 177-83. The application was denied initially, Tr. 106-08, and on  
7 reconsideration, Tr. 112-16. Plaintiff appeared at a hearing before an  
8 administrative law judge (“ALJ”) on March 17, 2015. Tr. 40-77. On July 24,  
9 2015, the ALJ denied Plaintiff’s claim. Tr. 16-39. On January 27, 2017, the  
10 Appeals Council denied review. Tr. 1-7.

11 Plaintiff appealed the ALJ’s decision to this Court. Case No. 1:17-CV-  
12 3055-MKD. On October 23, 2017, pursuant to the parties’ stipulation, the matter  
13 was remanded to the Social Security Administration for further proceedings. Tr.  
14 545-47. On June 18, 2019, Plaintiff appeared at a second hearing before the ALJ.  
15 Tr. 467-513. On September 6, 2019, the ALJ denied Plaintiff’s claim. Tr. 405-31.

16 As a threshold matter, the ALJ found Plaintiff met the insured status  
17 requirements of the Social Security Act through December 31, 2015. Tr. 411. At  
18 step one of the sequential evaluation analysis, the ALJ found Plaintiff had not  
19 engaged in substantial gainful activity from February 1, 2012, the amended alleged  
20 onset date, through December 31, 2015, the date last insured. *Id.* At step two, the

1 ALJ found Plaintiff had the following severe impairments: spinal impairment(s),  
2 osteoarthritis and/or rheumatoid arthritis, affective disorder(s), anxiety disorder(s),  
3 and substance abuse disorder. *Id.* At step three, the ALJ found Plaintiff did not  
4 have an impairment or combination of impairments that meets or medically equals  
5 the severity of a listed impairment. *Id.* The ALJ then found Plaintiff had the RFC  
6 to perform light work with the following limitations:

7 [S]he could lift and carry up to ten pounds occasionally and frequently. She  
8 could not climb ladders, rope, or scaffolding, or work at unprotected heights.  
9 She could occasionally stoop, kneel, crouch and crawl. She could  
10 occasionally reach overhead and could frequently handle and finger. She  
11 could understand, remember and carry out work that met the criteria for past  
12 relevant work (i.e., work performed long enough to learn it, within the last  
13 fifteen years, at substantial gainful activity levels) as well as unskilled  
14 routine and repetitive work. She could cope with occasional work setting  
change and occasional interaction with supervisors. [Plaintiff] could work in  
proximity to coworkers, but not in a team or cooperative effort. She could  
perform work that did not require interaction with the general public as an  
essential element of the job, but occasional incidental contact with the  
general public was not precluded. Within these parameters, she could meet  
ordinary and reasonable employer expectations regarding attendance,  
production, and workplace behavior.

15 Tr. 413-14.

16 At step four, the ALJ found Plaintiff was capable of performing past relevant  
17 work as an office manager and a bookkeeper. Tr. 422. Alternatively, at step five,  
18 the ALJ found that, considering Plaintiff's age, education, work experience, RFC,  
19 and testimony from a vocational expert, there were other jobs that existed in  
20 significant numbers in the national economy that Plaintiff could perform through

1 the date last insured, such as document preparer, toy stuffer, and escort vehicle  
2 driver. Tr. 424. The ALJ concluded Plaintiff was not under a disability, as defined  
3 in the Social Security Act, from February 1, 2012, the amended alleged onset date,  
4 through December 31, 2015, the date last insured. *Id.*

5 Defendant did not file exceptions to the ALJ's decision, and the Appeals  
6 Council did not assume jurisdiction of this case. Therefore, the ALJ's June 2019  
7 decision became the Commissioner's final decision for purposes of judicial review.  
8 20 C.F.R. § 404.984(d).

## 9 ISSUES

10 Plaintiff seeks judicial review of the Commissioner's final decision denying  
11 her disability insurance benefits under Title II of the Social Security Act. Plaintiff  
12 raises the following issues for the Court's review:

- 13 1. Whether the ALJ properly considered the Listing of Impairments;
- 14 2. Whether the ALJ properly weighed Plaintiff's symptom testimony; and
- 15 3. Whether the ALJ properly weighed the medical opinion evidence.

16 ECF No. 15 at 2.

## 17 DISCUSSION

### 18 A. Listing of Impairments

19 Plaintiff contends the ALJ erred by failing to conclude that Plaintiff's  
20 impairments met Listing 1.04. ECF No. 15 at 4-7.



1 At step three, the ALJ must determine if a claimant's impairments meet or  
2 equal a listed impairment. 20 C.F.R. § 404.1520(a)(4)(iii). The Listing of  
3 Impairments "describes each of the major body systems impairments [which are  
4 considered] severe enough to prevent an individual from doing any gainful  
5 activity, regardless of his or her age, education or work experience." 20 C.F.R.  
6 § 404.1525. To meet a listed impairment, a claimant must establish that she meets  
7 each characteristic of a listed impairment relevant to her claim. 20 C.F.R.  
8 § 404.1525(d). If a claimant meets the listed criteria for disability, she will be  
9 found to be disabled. 20 C.F.R. § 404.1520(a)(4)(iii). The claimant bears the  
10 burden of establishing she meets a listing. *Burch v. Barnhart*, 400 F.3d 676, 683  
11 (9th Cir. 2005). "An adjudicator's articulation of the reason(s) why the individual  
12 is or is not disabled at a later step in the sequential evaluation process will provide  
13 rationale that is sufficient for a subsequent reviewer or court to determine the basis  
14 for the finding about medical equivalence at step 3." SSR 17-2P, 2017 WL  
15 3928306, at \*4.

16 Plaintiff contends that the evidence shows Plaintiff's impairments meet  
17 Listing 1.04A or 1.04C. In order to meet Listing 1.04A, a claimant must establish:  
18 (1) evidence of nerve root compression characterized by neuro-anatomic  
19 distribution of pain; (2) limitations of motion of the spine; (3) motor loss ("atrophy  
20 with associated muscle weakness or muscle weakness") accompanied by sensory

1 or reflex loss, and (4) if there is involvement of the lower back, positive straight-  
2 leg raising test (sitting and supine). 20 C.F.R. § 404, Subpart P, Appendix 1, §  
3 1.04A. In order to meet Listing 1.04C, a claimant must establish: (1) lumbar spinal  
4 stenosis resulting in pseudoclaudication; (2) established by findings on appropriate  
5 medically acceptable imaging; (3) manifested by chronic nonradicular pain and  
6 weakness; and (4) resulting in an inability to ambulate effectively. *Id.* at § 1.04C.  
7 “Ineffective ambulation is defined generally as having insufficient lower extremity  
8 functioning ... to permit independent ambulation without the use of a hand-held  
9 assistive device(s) that limits the functioning of both upper extremities.” *Id.* at §  
10 1.00B(2)(b)(1).

11 Here, the ALJ found that Plaintiff’s spinal imaging during the relevant  
12 period did not document spinal arachnoiditis or nerve root compromise and that  
13 Plaintiff’s examination findings showed normal or adequate gait. Tr. 411.  
14 Plaintiff challenges the ALJ’s finding by citing to Plaintiff’s October 2015  
15 imaging, which showed progression of levoscoliosis and grade I spondylolisthesis,  
16 critical canal stenosis, resolve right paramedian protrusion component at L3-4,  
17 persistent broad-based disc bulge, and severe right neural foraminal narrowing,  
18 arguing that this meets the requisite imaging findings for Listing 1.04. ECF No. 15  
19 at 5-6 (citing Tr. 452-53). Plaintiff also identifies evidence of Plaintiff exhibiting  
20

1 slow, stiff, or antalgic gait and using a cane, arguing that this demonstrates an  
2 inability to ambulate effectively under Listing 1.04C. ECF No. 15 at 6-7.

3 Despite Plaintiff's argument, the ALJ's conclusion remains supported by  
4 substantial evidence. The opinion of a nonexamining physician may serve as  
5 substantial evidence if it is supported by other independent evidence in the record.  
6 *Andrews v. Shalala*, 53 F.3d 1035, 1041 (9th Cir. 1995). During the second  
7 administrative hearing, Dr. Schmitter specifically reviewed the imaging Plaintiff  
8 identifies and testified that these results showed moderate spinal problems that did  
9 not justify surgical intervention. Tr. 490-97. Dr. Schmitter also testified that  
10 Plaintiff's impairments did not meet Listing 1.04. Tr. 482-85. The ALJ gave Dr.  
11 Schmitter's opinion significant weight. Tr. 421. In support of the listing  
12 evaluation, the ALJ noted other evidence in the record that similarly failed to  
13 document spinal arachnoiditis or nerve root compromise. Tr. 411; *see* Tr. 266-69  
14 (May 2013 imaging showing only mild to moderate degenerative disc changes);  
15 Tr. 356 (October 2014 imaging showing mild to moderate degenerative changes in  
16 the lower lumbar spine, minimal to mild degenerative changes in the left sacroiliac  
17 joint, and otherwise unremarkable examination); Tr. 351 (December 2014 imaging  
18 showing only degenerative spinal changes and mild degenerative levoscoliosis);  
19 Tr. 956 (April 2015 imaging showing degenerative disc disease, neural foraminal  
20 encroachment, mild central canal stenosis, and anterolisthesis); Tr. 955 (October

1 2015 imaging showing progressed levoscoliosis and spondylolisthesis, canal  
2 stenosis, and neural foraminal narrowing). The ALJ also noted the many instances  
3 in which Plaintiff presented with a normal or adequate gait during treatment. Tr.  
4 411; *see* Tr. 277 (May 3, 2013: Plaintiff walked with an occasional limp and did  
5 not use an assistive device); Tr. 368 (September 16, 2014: neurological exam  
6 normal except slow gait); Tr. 373 (October 7, 2014: same); Tr. 378 (November 24,  
7 2014: same); Tr. 791 (October 23, 2015: neurologic exam grossly intact).

8 The Court may not reverse the ALJ's decision based on Plaintiff's  
9 disagreement with the ALJ's interpretation of the record. *See Tommasetti v.*  
10 *Astrue*, 533 F.3d 1035, 1038 (9th Cir. 2008) (“[W]hen the evidence is susceptible  
11 to more than one rational interpretation” the court will not reverse the ALJ's  
12 decision). The ALJ reasonably concluded, based on Plaintiff's medical records  
13 and Dr. Schmitter's hearing testimony, that Plaintiff's impairments did not meet  
14 Listing 1.04. This finding is supported by substantial evidence.

### 15 **B. Plaintiff's Symptom Testimony**

16 Plaintiff contends the ALJ failed to rely on clear and convincing reasons to  
17 discredit her symptom testimony. ECF No. 15 at 20-26.

18 An ALJ engages in a two-step analysis to determine whether to discount a  
19 claimant's testimony regarding subjective symptoms. SSR 16-3p, 2016 WL  
20 1119029, at \*2. “First, the ALJ must determine whether there is ‘objective

1 medical evidence of an underlying impairment which could reasonably be  
2 expected to produce the pain or other symptoms alleged.” *Molina*, 674 F.3d at  
3 1112 (quoting *Vasquez v. Astrue*, 572 F.3d 586, 591 (9th Cir. 2009)). “The  
4 claimant is not required to show that [the claimant’s] impairment ‘could reasonably  
5 be expected to cause the severity of the symptom [the claimant] has alleged; [the  
6 claimant] need only show that it could reasonably have caused some degree of the  
7 symptom.” *Vasquez*, 572 F.3d at 591 (quoting *Lingenfelter v. Astrue*, 504 F.3d  
8 1028, 1035-36 (9th Cir. 2007)).

9 Second, “[i]f the claimant meets the first test and there is no evidence of  
10 malingering, the ALJ can only reject the claimant’s testimony about the severity of  
11 the symptoms if [the ALJ] gives ‘specific, clear and convincing reasons’ for the  
12 rejection.” *Ghanim v. Colvin*, 763 F.3d 1154, 1163 (9th Cir. 2014) (citations  
13 omitted). General findings are insufficient; rather, the ALJ must identify what  
14 symptom claims are being discounted and what evidence undermines these claims.  
15 *Id.* (quoting *Lester v. Chater*, 81 F.3d 821, 834 (9th Cir. 1995)); *Thomas v.*  
16 *Barnhart*, 278 F.3d 947, 958 (9th Cir. 2002) (requiring the ALJ to sufficiently  
17 explain why he or she discounted claimant’s symptom claims). “The clear and  
18 convincing [evidence] standard is the most demanding required in Social Security  
19 cases.” *Garrison v. Colvin*, 759 F.3d 995, 1015 (9th Cir. 2014) (quoting *Moore v.*  
20 *Comm’r of Soc. Sec. Admin.*, 278 F.3d 920, 924 (9th Cir. 2002)).

1 Factors to be considered in evaluating the intensity, persistence, and limiting  
2 effects of a claimant's symptoms include: (1) daily activities; (2) the location,  
3 duration, frequency, and intensity of pain or other symptoms; (3) factors that  
4 precipitate and aggravate the symptoms; (4) the type, dosage, effectiveness, and  
5 side effects of any medication an individual takes or has taken to alleviate pain or  
6 other symptoms; (5) treatment, other than medication, an individual receives or has  
7 received for relief of pain or other symptoms; (6) any measures other than  
8 treatment an individual uses or has used to relieve pain or other symptoms; and (7)  
9 any other factors concerning an individual's functional limitations and restrictions  
10 due to pain or other symptoms. SSR 16-3p, 2016 WL 1119029, at \*7-\*8; 20  
11 C.F.R. § 404.1529(c). The ALJ is instructed to "consider all of the evidence in an  
12 individual's record," "to determine how symptoms limit ability to perform work-  
13 related activities." SSR 16-3p, 2016 WL 1119029, at \*2.

14 The ALJ found Plaintiff's impairments could reasonably be expected to  
15 cause the alleged symptoms; however, Plaintiff's statements concerning the  
16 intensity, persistence, and limiting effects of those symptoms were not entirely  
17 consistent with the evidence. Tr. 415.

18 *1. Lack of Supporting Evidence*

19 The ALJ found that Plaintiff's symptom allegations were not supported by  
20 the medical evidence. Tr. 415-18. An ALJ may not discredit a claimant's

1 symptom testimony and deny benefits solely because the degree of the symptoms  
2 alleged is not supported by objective medical evidence. *Rollins v. Massanari*, 261  
3 F.3d 853, 857 (9th Cir. 2001); *Bunnell v. Sullivan*, 947 F.2d 341, 346-47 (9th Cir.  
4 1991). However, the objective medical evidence is a relevant factor, along with  
5 the medical source's information about the claimant's pain or other symptoms, in  
6 determining the severity of a claimant's symptoms and their disabling effects.  
7 *Rollins*, 261 F.3d at 857; 20 C.F.R. § 404.1529(c)(2).

8       Here, the ALJ noted that despite Plaintiff's allegation that she stopped  
9 working in 2010 due to a severe panic attack, Plaintiff's primary care notes from  
10 2010 to 2012 do not document reports of anxiety or panic attacks until July 2012.  
11 Tr. 415; *see* Tr. 254-65. The ALJ also observed that Plaintiff's treatment notes did  
12 not corroborate the severity of her reports of disabling back, hip, and hand pain.  
13 Tr. 255 (August 29, 2012: Plaintiff reported no problems with pain despite not  
14 opening her Vicodin prescription); Tr. 254 (January 28, 2013: Plaintiff reported hip  
15 pain but demonstrated full range of motion; February 27, 2013: musculoskeletal  
16 exam showed full range of motion); Tr. 344 (January 2, 2014: Plaintiff reported  
17 disabling back and hip pain but biomechanical examination was normal); Tr. 367  
18 (September 16, 2014: lab testing for rheumatoid arthritis negative); Tr. 356  
19 (October 22, 2014: spinal imaging showed mild to moderate degenerative changes  
20 of the lower lumbar spine and minimal to mild degenerative changes of the left

1 sacroiliac joint); Tr. 378 (November 24, 2014: neurological examination normal  
2 except slow gait; no swelling noted in Plaintiff's hands); Tr. 351-52 (December 5,  
3 2014: spinal imaging showed mild to moderate degenerative changes of the lumbar  
4 spine; hip imaging showed no acute findings but mild bone abnormalities); Tr.  
5 364-65 (March 11, 2015: spinal imaging showed degenerative changes of the mid  
6 and lower lumbar spine and scoliosis with moderate stenosis and neural foraminal  
7 narrowing); Tr. 956 (April 2, 2015: spinal imaging showed degenerative disc  
8 disease with encroachment on the neural foramina, mild canal stenosis, and 2mm  
9 of anterolisthesis); Tr. 918 (May 20, 2015: Plaintiff exhibited stiff gate but no  
10 neurological deficits and straight leg testing negative for radiculopathy); Tr. 791  
11 (October 23, 2015: Plaintiff exhibit normal range of motion in her joints and mild  
12 or no tenderness); Tr. 1140-41 (October 23, 2015: Plaintiff exhibited normal range  
13 of motion in joints and spinal imaging did not show significant nerve  
14 compression); Tr. 1132 (April 8, 2016: Plaintiff reported significant improvement  
15 in back pain sine surgery and denied frequent panic attacks); Tr. 781 (April 14,  
16 2016: laboratory testing negative for rheumatological disease indicators). The ALJ  
17 reasonably concluded this evidence did not support the level of limitation Plaintiff  
18 alleged.

19 The ALJ also noted that, contrary to Plaintiff's testimony that her  
20 hypersomnia was related to her pain symptoms, the record showed Plaintiff



1 attributed it to other causes when in treatment settings. Tr. 416-17; *see* Tr. 343  
2 (March 26, 2014: Plaintiff reported feeling “sleepy and uncoordinated” due to  
3 medications; April 16, 2014: Plaintiff reported no longer oversleeping after  
4 reducing medications); Tr. 342 (April 28, 2014: Plaintiff attributed her symptoms  
5 to a recently-discovered natural gas leak in her home and stated that she felt  
6 remarkably better after having the leak fixed; June 17, 2014: Plaintiff attributed  
7 hypersomnia to taking too many medications); Tr. 394 (April 7, 2015: Plaintiff  
8 attributed hypersomnia to the gas leak). The ALJ reasonably concluded this  
9 evidence was inconsistent with Plaintiff’s symptom testimony.

10 Plaintiff challenges the ALJ’s finding by identifying evidence in the record  
11 that Plaintiff argues supports her symptom allegations. ECF No. 15 at 20-23.  
12 Plaintiff essentially invites this Court to reweigh the evidence. The Court “may  
13 neither reweigh the evidence nor substitute its judgment for that of the  
14 Commissioner.” *Blacktongue v. Berryhill*, 229 F. Supp. 3d 1216, 1218 (W.D.  
15 Wash. 2017) (citing *Thomas*, 278 F.3d at 954); *see also Tommasetti*, 533 F.3d at  
16 1038 (“[W]hen the evidence is susceptible to more than one rational interpretation”  
17 the court will not reverse the ALJ’s decision). The ALJ’s finding is based on a  
18 rational interpretation of the record and is supported by substantial evidence.

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1           2. *Improvement with Treatment*

2           The ALJ found Plaintiff's symptom allegations were inconsistent with her  
3 repeated reports of improvement with treatment. Tr. 415-17. The effectiveness of  
4 mitigating measures is a relevant factor in determining the severity of a claimant's  
5 symptoms. 20 C.F.R. § 404.1529(c)(3). Here, the ALJ noted several instances  
6 where Plaintiff reported a significant improvement in her symptoms with  
7 medication. Tr. 415-17; *see* Tr. 256 (June 29, 2012: Plaintiff reported considerable  
8 improvement and feeling 80% since starting Effexor); Tr. 255 (October 11, 2012:  
9 Plaintiff reported feeling much better with medication; November 29, 2012:  
10 Plaintiff reported Effexor worked quite well); Tr. 344 (February 6, 2014: Plaintiff  
11 reported back and hip pain improved with Vicodin); Tr. 400 (July 10, 2014:  
12 Plaintiff's anxiety reported to be well in fair control with medication and arthritis  
13 improved with Prednisone); Tr. 396 (February 4, 2015: Plaintiff reported her low  
14 back and hand pain were well controlled with medication). Plaintiff challenges the  
15 ALJ's finding by arguing that other evidence in the record indicates Plaintiff's  
16 impairments did not improve with treatment. ECF No. 15 at 22-23. However,  
17 where evidence is subject to more than one rational interpretation, the ALJ's  
18 conclusion will be upheld. *Burch*, 400 F.3d at 679. The ALJ reasonably  
19 concluded that Plaintiff's symptom allegations were inconsistent with the evidence  
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1 showing improvement with treatment. This finding is supported by substantial  
2 evidence.

3 *3. Inconsistent Statements Regarding Substance Use*

4 The ALJ found Plaintiff's symptom testimony was less credible because the  
5 evidence showed Plaintiff inconsistently reported her substance use to her different  
6 providers. Tr. 416-19. The ALJ may consider "ordinary techniques of credibility  
7 evaluation," such as reputation for lying, prior inconsistent statements concerning  
8 symptoms, and other testimony that "appears less than candid." *Smolen v. Chater*,  
9 80 F.3d 1273, 1284 (9th Cir. 1996). Inconsistent statements about drug or alcohol  
10 use are appropriate grounds for the ALJ to discount a claimant's reported  
11 symptoms. *Thomas*, 278 F.3d at 959. Here, the ALJ noted that Plaintiff  
12 inconsistently reported her history of alcohol and marijuana use. Tr. 416; *compare*  
13 Tr. 274 (May 4, 2013: Plaintiff reported drinking alcohol with a tendency to binge  
14 and marijuana use for pain control) *and* Tr. 284 (May 14, 2013: Plaintiff reported  
15 having 5 to 10 drinks per night, including consuming a cocktail the night before the  
16 assessment, and reported daily marijuana use until approximately seven years  
17 prior) *with* Tr. 289 (June 11, 2013: Plaintiff denied consuming alcohol in the last  
18 year and endorsed using marijuana in the last twelve months). The ALJ reasonably  
19 concluded that Plaintiff's inconsistent statements undermined the reliability of her  
20 other symptom reporting. This finding is supported by substantial evidence.

1           4. *Daily Activities*

2           The ALJ found Plaintiff's symptom testimony was inconsistent with her  
3 reported daily activities. Tr. 418-19. The ALJ may consider a claimant's activities  
4 that undermine reported symptoms. *Rollins*, 261 F.3d at 857. If a claimant can  
5 spend a substantial part of the day engaged in pursuits involving the performance  
6 of exertional or non-exertional functions, the ALJ may find these activities  
7 inconsistent with the reported disabling symptoms. *Fair v. Bowen*, 885 F.2d 597,  
8 603 (9th Cir. 1989); *Molina*, 674 F.3d at 1113. "While a claimant need not  
9 vegetate in a dark room in order to be eligible for benefits, the ALJ may discount a  
10 claimant's symptom claims when the claimant reports participation in everyday  
11 activities indicating capacities that are transferable to a work setting" or when  
12 activities "contradict claims of a totally debilitating impairment." *Molina*, 674  
13 F.3d at 1112-13.

14           Here, the ALJ found that despite Plaintiff's allegations that her symptoms  
15 caused her to be unable to lift more than five pounds or leave her own house,  
16 Plaintiff reported her activities to include repeatedly doing significant yard work  
17 and taking a trip to Las Vegas. Tr. 418; *see* Tr. 256 (June 29, 2012: Plaintiff  
18 reported doing four to six hours of yard work per day in anticipation of her son's  
19 wedding); Tr. 255 (August 29, 2012: Plaintiff reported being extremely busy  
20 leading up to her son's wedding; October 11, 2012: Plaintiff reported pruning a lot

1 of apple and pear trees); Tr. 52-53, 67 (Plaintiff testified that she traveled to Las  
2 Vegas in March 2015 and gambled in a casino). The ALJ also noted that despite  
3 alleging an inability to concentrate, Plaintiff reported her daily activities to include  
4 crocheting, computer games, and doing puzzle books. Tr. 418; *see* Tr. 274, 575.  
5 The ALJ reasonably concluded that these activities were inconsistent with the  
6 limitations Plaintiff alleged. Plaintiff challenges the ALJ's conclusion by offering  
7 a different interpretation of the evidence, but where evidence is subject to more  
8 than one rational interpretation, the ALJ's conclusion will be upheld. *Burch*, 400  
9 F.3d at 679. The ALJ's finding is supported by substantial evidence.

#### 10 5. *Inconsistent Symptom Reporting*

11 The ALJ found Plaintiff's symptom testimony was less credible because the  
12 evidence showed Plaintiff inconsistently reported her symptoms between an  
13 examining and treating provider. Tr. 416-17. In evaluating a claimant's symptom  
14 claims, an ALJ may consider the consistency of an individual's own statements  
15 made in connection with the disability review process with any other existing  
16 statements or conduct made under other circumstances. *Smolen*, 80 F.3d at 1284;  
17 *Thomas*, 278 F.3d at 958-59. Additionally, "[t]he failure to report symptoms to  
18 treatment providers is a legitimate consideration in determining the credibility of  
19 those complaints." *Leshner v. Comm'r of Soc. Sec.*, No. 2:15-cv-00237-SMJ, 2018  
20 WL 314819, at \*4 (E.D. Wash. Jan. 5, 2018) (citing *Greger v. Barnhart*, 464 F.3d

1 972, 972 (9th Cir. 2006)). Here, the ALJ noted some discrepancy between  
2 Plaintiff's symptom reporting to an examining source and her treatment provider.  
3 Tr. 416; *see* Tr. 272-77 (May 3, 2013 consultative examination: Plaintiff reported  
4 disabling back, neck, and hip pain; exhibited decreased range of motion in the  
5 neck, back, and hips; and walked with an occasional limp); Tr. 342-48 (Between  
6 July 2013 and June 2014, Plaintiff reported back pain during one appointment out  
7 of over a dozen). The ALJ reasonably concluded that Plaintiff's symptom  
8 reporting was inconsistent. This finding is supported by substantial evidence.

9 *6. Work Activity*

10 The ALJ found Plaintiff's symptom testimony was inconsistent with her  
11 own work history. Tr. 415. Working with an impairment supports a conclusion  
12 that the impairment is not disabling. *See Drouin v. Sullivan*, 966 F.2d 1255, 1258-  
13 59 (9th Cir. 1992). Here, the ALJ noted that Plaintiff reported that her  
14 psychological impairments were chronic and dated back to childhood, meaning  
15 that they were present during periods in which Plaintiff was able to sustain gainful  
16 employment. Tr. 415; *see* Tr. 273 (Plaintiff reported having problems with  
17 depression, anxiety, panic attacks, insomnia, and nightmares since the age of 14  
18 and did not report a worsening during the relevant period). The ALJ reasonably  
19 concluded that this evidence undermined Plaintiff's allegations of disabling  
20 impairments. This finding is supported by substantial evidence.

1 The ALJ also found Plaintiff's report that she was looking for part-time  
2 work was inconsistent with her alleged disability. Tr. 418. Seeking work despite  
3 an impairment supports an inference that the impairment is not disabling. *Bray v.*  
4 *Comm'r of Soc. Sec. Admin.*, 554 F.3d 1219, 1227 (9th Cir. 2009). However,  
5 holding oneself out as available for part-time work is not necessarily inconsistent  
6 with disability allegations. *Carmickle v. Comm'r of Soc. Sec. Admin.*, 533 F.3d  
7 1155, 1161-62 (9th Cir. 2008). Although this reasoning was error, the error is  
8 harmless because the ALJ's other work history finding remains supported by  
9 substantial evidence. *Id.* at 1162-63.

#### 10 7. Smoking Cessation

11 The ALJ found Plaintiff's symptom testimony was less credible because she  
12 failed to comply with admonitions to stop smoking. Tr. 417-18. Given the  
13 addictive nature of smoking, the Ninth Circuit has disfavored resting a credibility  
14 determination upon the failure to quit smoking. *See Bray*, 554 F.3d at 1227.  
15 Moreover, SSR 18-3p clarifies that "prescribed treatment does not include lifestyle  
16 modifications, such as dieting, exercise, or smoking cessation." 2018 WL  
17 4945641, at \*3 (effective October 29, 2018). Here, the ALJ noted that Plaintiff  
18 was repeatedly counseled to quit smoking, because it was a "trigger for rheumatoid  
19 arthritis" and for other pain factors. Tr. 417-18. This is not a clear and convincing  
20 reason to discredit Plaintiff's symptom testimony. *Bray*, 554 F.3d at 1227.

1 Nevertheless, this error is harmless because, as discussed *supra*, the ALJ lists  
2 additional reasons, supported by substantial evidence, for discrediting Plaintiff's  
3 symptom complaints. *See Carmickle*, 533 F.3d at 1162-63; *Molina*, 674 F.3d at  
4 1115 (“[S]everal of our cases have held that an ALJ’s error was harmless where  
5 the ALJ provided one or more invalid reasons for disbelieving a claimant’s  
6 testimony, but also provided valid reasons that were supported by the record.”).  
7 Plaintiff is not entitled to remand on this ground.

### 8 **C. Medical Opinion Evidence**

9 Plaintiff challenges the ALJ’s evaluation of the medical opinions of Mary  
10 Pellicer, M.D.; Rox Burkett, M.D.; Joe Kim, M.D.; Emma Billings, Ph.D.; Eric  
11 Schmitter, M.D.; and Tonjia Jones, ARNP. ECF No. 15 at 8-20.

12 There are three types of physicians: “(1) those who treat the claimant  
13 (treating physicians); (2) those who examine but do not treat the claimant  
14 (examining physicians); and (3) those who neither examine nor treat the claimant  
15 [but who review the claimant's file] (nonexamining [or reviewing] physicians).”  
16 *Holohan v. Massanari*, 246 F.3d 1195, 1201-02 (9th Cir. 2001) (citations omitted).  
17 Generally, the opinion of a treating physician carries more weight than the opinion  
18 of an examining physician, and the opinion of an examining physician carries more  
19 weight than the opinion of a reviewing physician. *Id.* In addition, the  
20 Commissioner’s regulations give more weight to opinions that are explained than



1 to opinions that are not, and to the opinions of specialists on matters relating to  
2 their area of expertise over the opinions of non-specialists. *Id.* (citations omitted).

3 If a treating or examining physician's opinion is uncontradicted, an ALJ may  
4 reject it only by offering "clear and convincing reasons that are supported by  
5 substantial evidence." *Bayliss v. Barnhart*, 427 F.3d 1211, 1216 (9th Cir. 2005).  
6 "However, the ALJ need not accept the opinion of any physician, including a  
7 treating physician, if that opinion is brief, conclusory, and inadequately supported  
8 by clinical findings." *Bray*, 554 F.3d at 1228 (internal quotation marks and  
9 brackets omitted). "If a treating or examining doctor's opinion is contradicted by  
10 another doctor's opinion, an ALJ may only reject it by providing specific and  
11 legitimate reasons that are supported by substantial evidence." *Id.* (citing *Lester*,  
12 81 F.3d at 830-831). The opinion of a nonexamining physician may serve as  
13 substantial evidence if it is supported by other independent evidence in the record.  
14 *Andrews*, 53 F.3d at 1041.

15 The opinion of an acceptable medical source such as a physician or  
16 psychologist is different from that of a non-acceptable medical source. 20 C.F.R. §  
17 404.1527(f)(1).<sup>1</sup> The ALJ is required to consider the opinions of non-acceptable

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18  
19 <sup>1</sup> Because Plaintiff's claim was filed in 2013, the regulations governing claims  
20 filed before March 27, 2017 apply to this case. 20 C.F.R. § 404.614.

1 medical sources. 20 C.F.R. § 404.1527(c). The factors used to weigh the opinion  
2 of a non-acceptable medical source are the same as those used to weigh the opinion  
3 of an acceptable medical source, although not every factor will apply in every case.  
4 20 C.F.R. § 404.1527(c)(1)-(6), (f)(1). The ALJ is only required to provide  
5 germane reasons to reject the opinion of an “other source,” including that of a non-  
6 acceptable medical source. *Popa v. Berryhill*, 872 F.3d 901, 906 (9th Cir. 2017)  
7 (citing *Molina*, 674 F.3d at 1111).

8 *1. Dr. Pellicer*

9 Dr. Pellicer examined Plaintiff on May 3, 2013; diagnosed Plaintiff with  
10 chronic neck and back pain secondary to degenerative disc disease and SI joint  
11 dysfunction, depression, general anxiety disorder and agoraphobia, and sleep  
12 apnea; and opined Plaintiff was able to stand and walk for 4-6 hours in an 8 hour  
13 day with more frequent breaks; that Plaintiff was able to sit for about 6 hours  
14 cumulatively in an 8 hour day with more frequent breaks; that Plaintiff did not  
15 need assistive devices; that Plaintiff was capable of lifting and carrying less than  
16 10 pounds occasionally; that Plaintiff could not bend, squat, crawl, kneel, or climb;  
17 that Plaintiff had no manipulative restrictions; and that Plaintiff was able to see,  
18 hear, speak, and travel independently and do all the necessary daily self-care  
19 activities. Tr. 272-77. The ALJ gave this opinion limited weight. Tr. 420.  
20 Because Dr. Pellicer’s opinion was contradicted by Dr. Rubio, Tr. 100-02, and Dr.

1 Schmitter, Tr. 486-87, the ALJ was required to provide specific and legitimate  
2 reasons for rejecting Dr. Pellicer's opinion.<sup>2</sup> *Bayliss*, 427 F.3d at 1216.

3 First, the ALJ found Dr. Pellicer's opinion was inconsistent with Plaintiff's  
4 reported activities. Tr. 420. An ALJ may discount a medical source opinion to the  
5 extent it conflicts with the claimant's daily activities. *Morgan v. Comm'r of Soc.*  
6 *Sec. Admin.*, 169 F.3d 595, 601-02 (9th Cir. 1999). Here, the ALJ found Dr.  
7 Pellicer's opinions about Plaintiff's lifting and postural limitations were  
8 inconsistent with Plaintiff's reported yard work activities. Tr. 420; *see* Tr. 256  
9 (June 29, 2012: Plaintiff reported doing four to six hours of yard work per day in  
10 anticipation of her son's wedding); Tr. 255 (August 29, 2012: Plaintiff reported  
11 being extremely busy leading up to her son's wedding; October 11, 2012: Plaintiff  
12 reported pruning a lot of apple and pear trees). The ALJ reasonably concluded that  
13 this activity was inconsistent with Dr. Pellicer's opined limitations. This finding is

14  
15 <sup>2</sup> Plaintiff argues Dr. Pellicer's opinion was not contradicted because no other  
16 source rendered an opinion as to whether Plaintiff needed more frequent breaks.  
17 ECF No. 15 at 9. However, Dr. Rubio opined Plaintiff could perform work at the  
18 light level and Dr. Schmitter opined Plaintiff could perform work at the medium  
19 level, which contradict the less-than-sedentary exertional limitations Dr. Pellicer  
20 opined.

1 supported by substantial evidence.

2 Second, the ALJ found Dr. Pellicer's opinion was not supported by  
3 Plaintiff's longitudinal medical record. Tr. 420. An ALJ may discredit physicians'  
4 opinions that are unsupported by the record as a whole. *Batson v. Comm'r of Soc.*  
5 *Sec. Admin.*, 359 F.3d 1190, 1195 (9th Cir. 2004). Here, the ALJ noted that Dr.  
6 Pellicer's opinion, which was based on Plaintiff's presentation with weakness  
7 during the examination, was inconsistent with Plaintiff's longitudinal treatment  
8 records, which failed to similarly document weakness. Tr. 420; *see* Tr. 289 (June  
9 11, 2013: normal physical examination); Tr. 400 (July 10, 2014: no muscle  
10 weakness in upper or lower extremities); Tr. 367-68 (September 16, 2014: normal  
11 neurological exam except for slow gait); Tr. 918 (May 20, 2015: normal  
12 neurological exam); Tr. 791 (October 23, 2015: neurological exam grossly intact);  
13 Tr. 1141 (October 23, 2015: normal upper extremity strength); Tr. 981 (December  
14 21, 2015: full motor strength on examination); Tr. 779 (June 3, 2016: neurological  
15 exam grossly intact). The ALJ reasonably concluded that this evidence was  
16 inconsistent with Dr. Pellicer's opinion. Plaintiff challenges the ALJ's conclusion  
17 by identifying other evidence in the record that Plaintiff argues supports Dr.  
18 Pellicer's opinion. ECF No. 15 at 9. However, even where evidence is subject to  
19 more than one rational interpretation, the ALJ's conclusion will be upheld. *Burch*,  
20 400 F.3d at 679. The ALJ's finding is supported by substantial evidence.

1           2. *Dr. Burkett*

2           Dr. Burkett reviewed the record and opined on September 25, 2015, that  
3 Plaintiff's impairments met or equaled Listings 1.02 or 14.09A. Tr. 401-04. The  
4 ALJ gave this opinion minimal weight. Tr. 420. Because Dr. Burkett's opinion  
5 was contradicted by Dr. Rubio, Tr. 100-02, and Dr. Schmitter, Tr. 481-82, 486-87,  
6 the ALJ was required to provide specific and legitimate reasons for rejecting Dr.  
7 Burkett's opinion. *Bayliss*, 427 F.3d at 1216.

8           First, the ALJ found Dr. Burkett's opinion was inconsistent with the  
9 longitudinal evidence. Tr. 420. An ALJ may discredit physicians' opinions that  
10 are unsupported by the record as a whole. *Batson*, 359 F.3d at 1195. As discussed  
11 *supra*, the ALJ noted that the longitudinal record did not document spinal  
12 arachnoiditis or nerve root compromise. Tr. 420; *see* Tr. 266-69 (May 2013  
13 imaging showing only mild to moderate degenerative disc changes); Tr. 356  
14 (October 2014 imaging showing mild to moderate degenerative changes in the  
15 lower lumbar spine, minimal to mild degenerative changes in the left sacroiliac  
16 joint, and otherwise unremarkable examination); Tr. 351 (December 2014 imaging  
17 showing only degenerative spinal changes and mild degenerative levoscoliosis);  
18 Tr. 956 (April 2015 imaging showing degenerative disc disease, neural foraminal  
19 encroachment, mild central canal stenosis, and anterolisthesis); Tr. 955 (October  
20 2015 imaging showing progressed levoscoliosis and spondylolisthesis, canal

1 stenosis, and neural foraminal narrowing). The ALJ reasonably concluded that this  
2 evidence was inconsistent with Dr. Burkett's opinion that Plaintiff's impairments  
3 met the severity of a listed impairment. Plaintiff again challenges the ALJ's  
4 conclusion by identifying other evidence in the record that Plaintiff argues supports  
5 Dr. Burkett's opinion. ECF No. 15 at 12. However, the Court may not reverse the  
6 ALJ's decision based on Plaintiff's disagreement with the ALJ's interpretation of  
7 the record. *See Tommasetti*, 533 F.3d at 1038 ("[W]hen the evidence is susceptible  
8 to more than one rational interpretation" the court will not reverse the ALJ's  
9 decision). The ALJ's conclusion is supported by substantial evidence.

10 Second, the ALJ found Dr. Burkett's opinion was inconsistent with  
11 Plaintiff's activities. Tr. 420. An ALJ may discount a medical source opinion to  
12 the extent it conflicts with the claimant's daily activities. *Morgan*, 169 F.3d at  
13 601-02. Here, the ALJ found Plaintiff's yard work and travel activities were  
14 inconsistent with the level of impairment Dr. Burkett opined. Tr. 420; *see* Tr. 256  
15 (June 29, 2012: Plaintiff reported doing four to six hours of yard work per day in  
16 anticipation of her son's wedding); Tr. 255 (August 29, 2012: Plaintiff reported  
17 being extremely busy leading up to her son's wedding; October 11, 2012: Plaintiff  
18 reported pruning a lot of apple and pear trees). The ALJ reasonably concluded that  
19 this activity was inconsistent with Dr. Burkett's opined limitations. This finding is  
20 supported by substantial evidence.

1           3. *Dr. Kim*

2           On December 14, 2015, Dr. Kim recorded in a treatment note that Plaintiff  
3 intended to have surgery for low back pain in one week and Dr. Kim suggested  
4 that, in the long-term, Plaintiff should not be sitting for more than 2 consecutive  
5 hours at one time, that Plaintiff should not be standing or walking more than 1 hour  
6 continuously, and that Plaintiff should lift less than 25 pounds. Tr. 905. Plaintiff  
7 contends the ALJ should have evaluated this statement as medical opinion  
8 evidence. ECF No. 15 at 14-15.

9           The ALJ must evaluate every medical opinion received according to a list of  
10 factors set forth by the Social Security Administration. 20 C.F.R. § 404.1527(c).  
11 “Medical opinions are statements from acceptable medical sources that reflect  
12 judgments about the nature and severity of [the claimant’s] impairments, including  
13 [the claimant’s] symptoms, diagnosis and prognosis, what [the claimant] can still  
14 do despite impairment(s), and [the claimant’s] physical or mental restrictions.” 20  
15 C.F.R. § 404.1527(a)(1). An ALJ is not required to provide clear and convincing  
16 reasons for rejecting statements within medical records when those records do not  
17 reflect physical or mental limitations or otherwise provide information about the  
18 ability to work. *See Turner v. Comm’r of Soc. Sec.*, 613 F.3d 1217, 1223 (9th Cir.  
19 2010) (deciding that because the physician’s report did not assign any specific  
20 limitations or opinions regarding the claimant’s ability to work, “the ALJ did not

1 need to provide ‘clear and convincing reasons’ for rejecting [the] report because  
2 the ALJ did not reject any of [the report’s] conclusions”). The statement in Dr.  
3 Kim’s treatment notes that Plaintiff identifies is a suggestion of how Plaintiff  
4 should limit her activities in the long-term following an upcoming surgery, rather  
5 than an opinion regarding Plaintiff’s actual functioning. Tr. 905. Accordingly,  
6 this evidence is not a “medical opinion,” and the ALJ was not required to provide  
7 reasons to reject it. *Turner*, 613 F.3d at 1223. Plaintiff identifies no error in the  
8 ALJ’s evaluation of this evidence.

9 *4. Dr. Billings*

10 On May 14, 2013, Dr. Billings examined Plaintiff; diagnosed Plaintiff with  
11 major depressive disorder, anxiety disorder NOS, alcohol dependence, and  
12 agoraphobia; and opined that it may be difficult for Plaintiff to work in any setting  
13 which requires frequent public contact and that Plaintiff can be expected to have  
14 difficulty working in a stressful environment. Tr. 281-85. The ALJ gave this  
15 opinion some weight. Tr. 422. Because Dr. Billings’ opinion was contradicted by  
16 Dr. Kraft, Tr. 87-89, and Dr. Comrie, Tr. 102-104, the ALJ was required to provide  
17 specific and legitimate reasons for rejecting Dr. Billings’ opinion. *Bayliss*, 427  
18 F.3d at 1216.

19 Plaintiff contends the ALJ erred by failing to discuss Dr. Billings’ assessed  
20 GAF score and opinion on Plaintiff’s tolerance for workplace stress. ECF No. 15



1 19-20. Dr. Billing’s opinion that Plaintiff “can be expected to have difficulty  
2 working in a stressful environment” is not a specific statement of what Plaintiff can  
3 do despite her impairments, and therefore is not a medical opinion that the ALJ  
4 was required to evaluate. 20 C.F.R. § 404.1527(a)(1); *Turner*, 613 F.3d at 1223.  
5 Additionally, while a GAF score may help guide an ALJ’s decision, an ALJ is not  
6 bound to consider a GAF score. The Commissioner has explicitly disavowed use  
7 of GAF scores as indicators of disability. 65 Fed. Reg. 50746-01, 50764-65 (Aug.  
8 21, 2000) (“The GAF scale . . . does not have a direct correlation to the severity  
9 requirements in our mental disorder listings.”). Plaintiff identifies no error in the  
10 ALJ’s evaluation of Dr. Billings’ opinion.

11 5. *Dr. Schmitter*

12 Dr. Schmitter reviewed the record and testified at the June 18, 2019 hearing  
13 that Plaintiff was capable of performing work at the medium exertional level. Tr.  
14 476-502. The ALJ gave Dr. Schmitter’s opinion significant weight. Tr. 421.  
15 Plaintiff challenges the ALJ’s evaluation of Dr. Schmitter’s opinion by arguing  
16 that Dr. Schmitter appeared confused, uninformed, mischaracterized evidence, and  
17 “showed a lack of basic medical knowledge,” and therefore should have been  
18 given less weight. ECF No. 15 at 16-19.

19 Plaintiff identifies no legal error in the ALJ’s evaluation of Dr. Schmitter’s  
20 opinion. As an initial matter, the Court notes that Plaintiff did not object to Dr.

1 Schmitter's qualifications during the administrative hearing. Tr. 476. More  
2 importantly, though, an ALJ may credit the opinion of a nonexamining expert who  
3 testifies at hearing and is subject to cross-examination. *See Andrews*, 53 F.3d at  
4 1042 (citing *Torres v. Sec'y of H.H.S.*, 870 F.2d 742, 744 (1st Cir. 1989)). The  
5 opinions of a nonexamining physician may serve as substantial evidence when they  
6 are supported by other evidence in the record and are consistent with it. *Andrews*,  
7 53 F.3d at 1041. Other cases have upheld the rejection of an examining or treating  
8 physician based in part on the testimony of a non-examining medical advisor when  
9 other reasons to reject the opinions of examining and treating physicians exist  
10 independent of the non-examining doctor's opinion. *Lester*, 81 F.3d at 831 (citing  
11 *Magallanes v. Bowen*, 881 F.2d 747, 751-55 (9th Cir. 1989) (reliance on laboratory  
12 test results, contrary reports from examining physicians and testimony from  
13 claimant that conflicted with treating physician's opinion); *Roberts v. Shalala*, 66  
14 F.3d 179, 184 (9th Cir. 1995) (rejection of examining psychologist's functional  
15 assessment which conflicted with his own written report and test results). Thus,  
16 case law requires not only an opinion from the consulting physician but also  
17 substantial evidence (more than a mere scintilla but less than a preponderance),  
18 independent of that opinion which supports the rejection of contrary conclusions  
19 by examining or treating physicians. *Andrews*, 53 F.3d at 1041. As discussed  
20 *supra*, the ALJ discussed Dr. Schmitter's opinion, compared it to other medical

1 opinion evidence in the record, and identified evidence in the record, such as the  
2 lack of documentation of nerve root compression, that supported Dr. Schmitter's  
3 opinion over the other medical opinions. The ALJ's evaluation of Dr. Schmitter's  
4 opinion is supported by substantial evidence.

5 Plaintiff's challenge is merely an invitation to this court to reweigh the  
6 evidence. The Court "may neither reweigh the evidence nor substitute its  
7 judgment for that of the Commissioner." *Blacktongue*, 229 F. Supp. 3d at 1218  
8 (citing *Thomas*, 278 F.3d at 954); *see also Tommasetti*, 533 F.3d at 1038 ("[W]hen  
9 the evidence is susceptible to more than one rational interpretation" the court will  
10 not reverse the ALJ's decision). Because the ALJ's interpretation of the evidence  
11 is reasonable, the Court will not reverse the ALJ's conclusion.

12 *6. Ms. Jones*

13 Ms. Jones, Plaintiff's treating ARNP, opined on May 24, 2019 that Plaintiff  
14 had moderate to marked limitations in sustained concentration and persistence, that  
15 Plaintiff's combination of anxiety and pain caused her to be unable to adapt to  
16 changes that Plaintiff could not work and would frequently be absent from work,  
17 that Plaintiff would have to lie down during the day, that Plaintiff was unable to sit  
18 for more than 15 minutes at a time, that Plaintiff was unable to meet the demands  
19 of full time sedentary work, and that these limitations existed since at least July 14,  
20 2010. Tr. 2339-46. The ALJ gave Ms. Jones' opinion minimal weight. Tr. 421.

1 As an ARNP, Ms. Jones is not an acceptable medical source. 20 C.F.R. §  
2 404.1502(a)(7). Therefore, the ALJ was required to provide germane reason to  
3 discredit Ms. Jones' opinion. *Popa*, 872 F.3d at 906 (citing *Molina*, 674 F.3d at  
4 1111).

5 First, the ALJ found Ms. Jones' opinion was inconsistent with the medical  
6 evidence, including Ms. Jones' own treatment notes. Tr. 421. Inconsistency with  
7 the evidence is a germane reason for rejecting evidence. 20 C.F.R. §  
8 404.1527(c)(4); *Bayliss*, 427 F.3d at 1218. Here, the ALJ noted that despite  
9 opining that Plaintiff's impairments had persisted since 2010, the record only  
10 documented treatment notes from Ms. Jones beginning in early 2018, well after  
11 Plaintiff's date last insured in December 2015. Tr. 421; *see* Tr. 1122 (treatment  
12 notes beginning January 25, 2018). Although Ms. Jones opined Plaintiff had  
13 significant functional limitations, her treatment notes did not document  
14 corresponding physical or mental findings. Tr. 421; *see* Tr. 822, 824-25 (normal  
15 examination findings). The ALJ reasonably concluded that this evidence was  
16 inconsistent with the significant limitations Ms. Jones opined. This finding is  
17 supported by substantial evidence.

18 Second, the ALJ found Ms. Jones' opinion was based on Plaintiff's  
19 subjective symptom reports, which the ALJ found were not fully credible. Tr. 421.  
20 A physician's opinion may be rejected if it based on a claimant's subjective

1 complaints which were properly discounted. *Tonapetyan v. Halter*, 242 F.3d 1144,  
2 1149 (9th Cir. 2001); *Fair*, 885 F.2d at 604. “[W]hen an opinion is not more  
3 heavily based on a patient’s self-reports than on clinical observations, [this] is no  
4 evidentiary basis for rejecting the opinion.” *Ghanim*, 763 F.3d at 1162. Here, the  
5 ALJ noted that, in light of the lack of supportive findings for her opinion in Ms.  
6 Jones’ treatment notes, Ms. Jones’ opinion was more heavily based on Plaintiff’s  
7 subjective symptom reporting. Tr. 421. For reasons discussed *supra*, the ALJ  
8 reasonably concluded Plaintiff’s subjective symptom reporting was not fully  
9 credible. The ALJ reasonably concluded that Ms. Jones’ opinion was based more  
10 heavily on Plaintiff’s subjective symptom reports. This finding is supported by  
11 substantial evidence.

12 Third, the ALJ found Ms. Jones’ opinion was inconsistent with Plaintiff’s  
13 activities. Tr. 421. Inconsistency with a claimant’s daily activities is a germane  
14 reason to reject other source testimony. *Carmickle*, 533 F.3d at 1163-64; *Lewis v.*  
15 *Apfel*, 236 F.3d 503, 512 (9th Cir. 2001). As discussed *supra*, the ALJ noted  
16 Plaintiff’s activities included significant yard work and a long-distance trip. Tr.  
17 421; *see* Tr. 256 (June 29, 2012: Plaintiff reported doing four to six hours of yard  
18 work per day in anticipation of her son’s wedding); Tr. 255 (August 29, 2012:  
19 Plaintiff reported being extremely busy leading up to her son’s wedding; October  
20 11, 2012: Plaintiff reported pruning a lot of apple and pear trees); Tr. 52-53, 67

(Plaintiff testified that she traveled to Las Vegas in March 2015 and gambled in a casino). The ALJ also noted that despite opining Plaintiff had moderate to marked limitations in concentration and persistence, Plaintiff reported her daily activities to include crocheting, computer games, and doing puzzle books. Tr. 421; *see* Tr. 274, 575. The ALJ reasonably concluded that these activities were inconsistent with Ms. Jones' opined limitations. This finding is supported by substantial evidence.

### CONCLUSION

Having reviewed the record and the ALJ's findings, this Court concludes the ALJ's decision is supported by substantial evidence and free of harmful legal error.

### ACCORDINGLY, IT IS HEREBY ORDERED:

1. Plaintiff's Motion for Summary Judgment (ECF No. 15) is DENIED.
2. Defendant's Motion for Summary Judgment (ECF No. 16) is GRANTED.

The District Court Executive is directed to enter this Order, enter judgment accordingly, furnish copies to counsel, and **close the file**.

**DATED** July 24, 2020.



*Thomas O. Rice*  
THOMAS O. RICE  
Chief United States District Judge